



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Gisela MEIER, Heinrich PAJUNK and Horst PAJUNK

Appln. No.: 09/438,759

Group Art Unit: 1641

Filed: November 11, 1999

Examiner: Lam, Ann Y

For: CONTINUOUSLY CONDUCTIVE UNIPOLAR CANNULA FOR ANESTHESIA

Attorney Docket No.: 2368.098

Customer number: 000041288

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. The document was cited during an opposition filed on March 25, 2004 on the priority German application. Copy of the document forth below and listed on the attached Form PTO-1449 is provided herewith.

1. U.S. 5,976,110

Document 1 is in English language. Document 1 corresponds to International Application No. WO 99/36113 which claimed priority over US application 09/006,643, which issued as US Patent No. 5,796,110 on November 2, 1999. WO 99/36113 was cited during the opposition process.

U.S. PATENT APPLICATION

SERIAL NO.: 09/438,759

ATTY DOCK: 2368.098

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

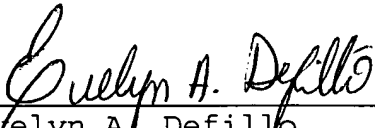
The present Information Disclosure Statement is being filed (without a Certification under 37 C.F.R. ' 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a final Office Action or Notice of Allowance (whichever is earlier) and, therefore, a check for the fee of \$180.00 under 37 C.F.R. ' 1.17(p) is attached.

A check in the amount of \$180.00 is attached. Please charge or credit any difference or overpayment to Deposit Account No. 16-0877.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

  
Evelyn A. Defillo  
Reg. No. 45,630

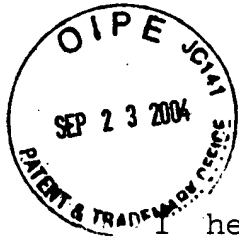
Pendorf & Cutliff  
5111 Memorial Highway  
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(813)886-6085  
Dated: **September 20, 2004**

U.S. PATENT APPLICATION

SERIAL NO.: 09/438,759

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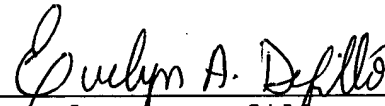
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

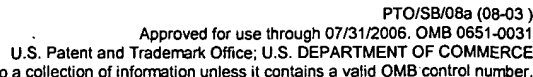


CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that a copy of the foregoing SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, Form PTO-1449 and four references for U.S. Application No. 09/438,759 filed November 11, 1999, was deposited in first class U.S. mail, postage prepaid, Mail Stop Amendment, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on **September 20, 2004**.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

  
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Evelyn A. DeFilio



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449-170

(use as man sheets as necessary)

**Complete If Known**

Application Number	09/438,759
Filing Date	November 11, 1999
First Named Inventor	Gisela MEIER
Art Unit	1641
Examiner Name	Ann Y. Lam
Attorney Docket No.	2368.098

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>5</sup> Number <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

**Examiner Signature**

**Date Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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